

SAFEGUARDING ADULTS BASELINE ASSESSMENT

SECTION 1: Personal Details

Name of Vulnerable Person:			
Date of Birth:	Gender:		
Address:		GP Details:	
Post Code:			
Telephone No:			
Name of person who identified the concern in the first place:	e Address:		Telephone No:
Brief Factual Description of Al	legation(s), including dates of refer	ral(s) to HBC:	
Referral Date:	Allocat	ion Date:	
Is alleged victim known to Hal Yes No	ton Adult Social Services at time of	f referral?	
	of abuse of this vulnerable adult		
Alleged Perpetrator(s) (if know	vn)		
Are there any contractual issu	es? Yes No		Date(s) sent:
, , , , , , , , , , , , , , , , , , , ,			
	I in the case, provider monitoring for		By Whom:
	Services Contracts Section (and at	lached).	
Do any of the allegations mee	t PPLI/Coporal Polico critoria	Yes	
Do any of the allegations mee	TT O/General Tolice citeria		
If a referral was made to the P	Police gice CAVA/Police reference/	or crime number	

SECTION 2 – Care Provision

Name of Care Provider:	
Address:	Care Provision:
Post Code:	
Telephone No:	
Care Commissioned and Funded By:	
Commissioners of Care aware of Safeguarding Concern Date By Whom	
Past Medical History and Prognosis if Known:	

NAME	Carefirst ID
PERSONAL CARE	Independent
Washing & Dressing	Supervision/Assistance of 1 carer
	Dependent on 2 carers
	Care Plan Yes No N/A
	Care Plan Last Updated:
	Safeguarding Concerns Identified/Substantiated Yes No
	If Yes please identify in comments below
Comments	
Skin Care (attach pressure	ulcer risk assessment where available)
Pressure Ulcer Score	Please state tool used and risk score:
Pressure ulcers/wounds	Yes No
If Yes state site, size and d	ressings in use as evidenced in care provider records in
comments below	
Pressure ulcer/wound asse	ssment chart in place Yes No
Tissue Viability Team inv	olvement Yes No
Date assessed:	
Treatment plan/advice evid	ent in care plan Yes No
Date discharged:	
Referral required Yes	No
Pressure relieving equipme	nt in use Yes No
Pressure area care maintai	ned eg turn chart Yes No
Care Plan Yes	No N/A
Care Plan Last Updated:	
Safeguarding Concerns Ide	entified/Substantiated Yes No
If Yes please identify in cor	nments below
Comments	

NAME	Ca	arefirst ID	
Foot Care			
Any problems with feet or nails	Yes N	١o	
Podiatrist involvement	Yes N	No	
Date assessed:			
Treatment plan/advice evident in care pla	an Yes	No	
Date discharged:			
Referral required	Yes N	lo	
Care Plan	Yes N	lo N/A	
Care Plan Last Updated:			
Safeguarding Concerns Identified/Substa	antiated	Yes No	
If Yes please identify in comments below	1		
Comments			
NUTRITION			
Independent/Supervision		Dependent	
Nil by Mouth/Artificial Feeding			
Fortified diet Yes No			
Supplementary feeding Yes No			
Weight Weight loss/identify amount			
If unable to weigh, state reason			
Alternative measures in place – state			
Special dietary requirements (please sta	te):		
Nutritional score Risk	Level	Low D BMI	
		Medium 🗌 Score 🖵	
		High 🛄	
Nutritional Tool Used:			
Food chart in use Yes No			
Dietician involvement Yes No)		
Date assessed:			
Treatment plan/advice evident in care pla	an Yes	No	

NAME	Carefirst ID
Date discharge	d:
Referral require	
•	anguage Therapist Involvement Yes No
Date assessed	
Treatment plan	/advice evident in care plan Yes No
Date discharge	-
Referral require	
Care Plan	Yes No N/A
Care Plan Last	Updated:
Safeguarding C	Concerns Identified/Substantiated Yes No
If Yes please ic	lentify in comments below
Comments	
HYDRATION	Independent/Supervision for fluids
	Dependent for fluids
	Intake/output chart required Yes No
	Care Plan Yes No N/A
	Care Plan Last Updated:
	Safeguarding Concerns Identified/Substantiated Yes No
	If Yes please identify in comments below
Comments	
MEDICATION	Independent Supervision/assistance
	Concordant 🗆 Non Concordant 🗆
	Medication administered covertly Yes No If Yes is this in
	accordance with covert medication policy guidelines? Yes No
	Special requirements eg Syringe Driver
	Care Plan Yes No N/A
	Care Plan Last Updated:
	Safeguarding Concerns Identified/ Substantiated Yes No
	If Yes please identify in comments below

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MEDICATION (Please attach current copy of MARS sheet where available)
List All Medication
Known allergies:
Comments
PAIN – symptom control eg pain, nausea, vomiting, agitation
Symptoms controlled Yes No If No please identify in comments below
Regular Specialist Nurse/Clinician/Therapist intervention Involvement Yes No
If Yes please specify:
Date assessed:
Treatment plan/advice evident in care plan Yes No
Date discharged:
Referral required Yes No
Care Plan Yes No N/A
Care Plan Last Updated:
Safeguarding Concerns Identified/Substantiated Yes No
If Yes please identify in comments below Comments
Comments
HEARING and Normal Impaired
HEARING and Normal Impaired VISION Aids Used
Care Plan Yes No N/A

NAME	Carefirst ID
	Care Plan Last Updated:
	Safeguarding Concerns Identified/Substantiated Yes No
	If Yes please identify in comments below
Comments	
COMMUNICATION	Normal 🗌 Impaired 🗌
COMMONICATION	Able to Indicate Needs Yes No
	Uses alternate communication Yes No If Yes please
	identify in comments below
	Speech and Language Therapist Involvement Yes No
	Date assessed:
	Treatment plan/advice evident in care plan Yes No
	Date discharged:
	Referral required Yes No
	Care Plan Yes No N/A (please circle)
	Care Plan Last Updated:
	Safeguarding Concerns Identified/Substantiated Yes No
	If Yes please identify in comments below
Comments	
BREATHING	
Difficulty with breathi	ng Yes No If Yes please identify in comments below
Are any of the followi	ng required to aid breathing: Please tick where appropriate
Oxygen	Nebuliser 🗌 Inhaler 🗌
Tracheostomy	Suction Ventilator
Other: please state:	
Regular Specialist I	Nurse/Clinician/Therapist intervention Involvement Yes No
If Yes please specify	:
Date assessed:	
Treatment plan/advic	e evident in care plan Yes No

NAME	Carefirst ID
Date discharged:	
Referral required Yes No	
Care Plan Yes No N/A	
Care Plan Last Updated:	
Safeguarding Concerns Identified/Substantia	ted Yes No
If Yes please identify in comments below	
Comments	
ELIMINATION Bladder Continent	Catheter/conveen
Incontinent [🗌 Occasional 🗌 Day 🗌 Night 🛄
Bowels Continent [Stoma
Incontinent	🗌 Occasional 🗌 Day 🗌 Night 🗌
Frequency of toileting	
Bladder/ bowel problems, eg UTI, constipatio	n: please state
Continence aids used: please state	
Continence assessment completed Ye	s No
Date assessed:	
Treatment plan/advice evident in care plan	Yes No
Date discharged:	
Referral required Yes No	
Care Plan Yes No N/A	
Care Plan Last Updated:	
Safeguarding Concerns Identified/Substantia	ted Yes No
If Yes please identify in comments below	
Comments	

MOBILITY	Independent \Box Supervision/assistance of one carer \Box
	Dependent on two carers
	Aids/equipment required for moving and handling Yes No If Yes please
	specify in comments below
	Moving and Handling Risk Assessment completed Yes No If No is
	an assessment required Yes No
	Pysiotherapist/ Occupational Therapist Involvement
	Date assessed:
	Treatment plan/advice evident in care plan Yes No
	Date discharged:
	Referral required Yes No
	Care Plan Yes No N/A
	Care Plan Last Updated:
	Safeguarding Concerns Identified/Substantiated Yes No
	If Yes please identify in comments below
	History of falls Yes No
	Falls Risk Assessment Completed Yes No
	Falls Co-ordinator Involvement Yes No
	Date assessed:
	Treatment plan/advice evident in care plan Yes No
	Date discharged:
	Referral required Yes No
	Care Plan Yes No N/A
	Care Plan Last Updated:
	Safeguarding Concerns Identified/Substantiated Yes No
	If Yes please identify in comments below
Comments	

MENTAL HEALTH – Memory/Cognition				
No memory loss		Short term memory loss		
Long term mem	ory loss 📋	Occasionally forgetful/needs prompting		
Orientated/disor	rientated 🛛	Confused/wandering		
Decision-Making				
Have there been concerns with regards to specific decision-making Yes No If Yes				
please specify in the comments below				
Have the Mental Capacity Act Guidelines been followed Yes No				
Is this evidenced in th	ne care records	Yes No		
Psychiatrist Involvement Yes No				
Date assessed:				
Treatment plan/advice evident in care plan Yes No				
Date discharged:				
Referral required Yes No				
Care Plan Yes No N/A				
Care Plan Last Updated:				
Safeguarding Concerns Identified/Substantiated Yes No				
If Yes please identify in comments below				
Comments				
PSYCHOLOGICAL	Mood appears sta	able 🗌 Mood appears changeable 🗌		
FEATURES	Low mood/depres	ssive illness \Box Episodes of tearfulness/distress \Box		
	Episodes of anxie	ety Risk of self harm		
	Experiences hallu	icinations/delusions		
Psychiatrist/Mental Health Services Involvement Yes No				
Date assessed:				
Treatment plan/advice evident in care plan Yes No				
Date discharged:				
Referral required Yes No				

NAME Carefirst ID				
Care Plan Yes No N/A				
Care Plan Last Updated:				
Safeguarding Concerns Identified/Substantiated Yes No				
If Yes please identify in comments below				
Comments				
Behaviour				
Even tempered and consistent \Box Occasionally agitated/easily resolved \Box				
Regularly agitated/easily resolved Constantly agitated/requiring intensive support				
Unusual/disinhibited behaviour				
Any identified triggers for identified behaviours Yes No If Yes please specify in				
comments below and detail what action has been taken				
Psychiatrist/Mental Health Services Involvement Yes No				
Date assessed:				
Treatment plan/advice evident in care plan Yes No				
Date discharged:				
Referral required Yes No				
Care Plan Yes No N/A				
Care Plan Last Updated:				
Safeguarding Concerns Identified/Substantiated Yes No				
If Yes please identify in comments below				
Comments				

PROFESSIONAL VISITS				
Date	Reason for Visit	Outcome		
Is a review I	oy the GP required now? YES/N	0		
	e specify why a review is required:			

Summary of Assessment/Recommendations/General Observations		
NAME OF ASSESSOR		
SIGNATURE OF ASSESSOR DATE		